XANES Measurements and Interpretation

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XANES

• X-ray Absorption Near Edge Structure

NEXAFS

• Near-Edge X-ray Absorption Fine Structure

The two acronyms should be interchangeable but over the years NEXAFS has become terminology for "low Z" elements - C, N, O...



- XANES is region of x-ray absorption spectrum within ~50eV of the absorption edge.
- Suggested that division is that at which wavelength of excited electron is equal to distance between absorbing atom and its nearest neighbor. $(\lambda (\text{\AA}) \approx 12/[e(eV)]^{\frac{1}{2}})$.

What Is XANES?



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- Traditionally, set energy scale and calibrate energy by taking maximum of first derivative of edge as " E_0 ".
- Then any peaks below this energy are "pre-edge" peaks.



- True energy zero is the Fermi energy: there can be no transitions below this energy.
- If "edge" energy correctly defined as E_F there are, by definition, no "pre-edge" features!
- Often difficult to distinguish between bound (localized) and unbound (delocalized) states.

Why Are We Interested In XANES? Local Coordination Environment



• Ti K-edge XANES shows dramatic dependence on the local coordination chemistry.

Why Are We Interested In XANES? Oxidation State



• Many edges of many elements show significant edge shifts (binding energy shifts) with oxidation state.

What Is XANES and Why Are We Interested?

XANES is strongly sensitive to the chemistry (formal oxidation state and geometry) of the absorbing atom.

Region	Transitions	Information Content
Pre-edge	Features caused by electronic transitions to empty bound states. Transition probability controlled by dipolar selection rules.	Local geometry around absorbing atom. Dependence on oxidation state and bonding characteristics (chemical shift).
Edge	Defines ionization threshold to continuum states.	Dependence on oxidation state (chemical shift), main edge shifts to higher energy with increased oxidation state. (As much as 5 eV per one unit change).
XANES	Features dominated by multiple-scattering resonances of the photoelectrons ejected at low kinetic energy. Large scattering cross section.	Atomic position of neighbors: interatomic distances and bond angles. Multiple scattering dominates but <i>ab initio</i> calculations providing accessible insight (e.g. FEFF8).

XANES Transitions

- XANES directly probes the angular momentum of the unoccupied electronic states: these may be bound or unbound, discrete or broad, atomic or molecular.
- Dipole selection rules apply*: $\Delta l = \pm 1$, $\Delta j = \pm 1$, $\Delta s = 0$.
- Primary transition will be:
 - $s \rightarrow p$ for K (1s core electron) and L₁ (2s core electron initial state) edges
 - $p \rightarrow d$ for L₂ (2 $p_{1/2}$) and L₃ (2 $p_{3/2}$) edges
- But....final state usually not atomic-like and may have mixing (hybridization) with other orbitals. This is often the interesting part of the XANES!

* Some transitions are true quadrupolar transitions. These are usually very weak.

XANES Interpretation

- The EXAFS equation breaks down at low-*k*, which complicates XANES interpretation.
- We do not have a simple equation for XANES.

XANES can be described *qualitatively* (and nearly *quantitatively*) in terms of:
coordination chemistry regular, distorted octahedral, tetrahedral...
molecular orbitals p-d hybridization, crystal field theory
band structure the density of available occupied electronic states
multiple scattering multiple bounces of the photoelectron

• These chemical and physical interpretations are all related: What electronic states can the photoelectron fill?

Advantages of XANES vs. EXAFS

- Spectra simpler to measure than EXAFS: features intense, concentrated in small energy region.
- Weak temperature dependence (Debye-Waller), so spectra can be recorded at reaction temperature (*in situ*).
- Faster to measure than full spectrum: <msec demonstrated.
- Sensitive to chemical information: valence, charge transfer.
- Probes unoccupied electronic states: important in chemistry.
- Often used as simple "fingerprint" to identify presence of a particular chemical species.
- Beamlines with micro-probe capabilities can also scan energy and obtain XANES spectra with elemental distribution.

XANES Analysis: Oxidation State Sulfur



Sulfur K-edge XANES used to identify and quantify the form of sulfur in heavy petroleum, coals, soils etc.

11 eV edge shift from S^{2-} to S^{6+} .

Spectra of S in similar environments similar: thiophene, benzothiophene.

Can be used as fingerprint.

Reference: George and Gorbaty, J. Am. Chem. Soc. 101 (1979) 3182

XANES Analysis: Oxidation State Sulfur



Dodecyl sulfide, thiophene, tetramethylene sulfoxide, tetramethylene sulfone, sulfate(aq)

XANES Analysis: Oxidation State

Many, many examples in the literature.....



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Local Site Symmetry in Ti-containing Compounds



Ti K-edge XANES: Reference Compounds

• Symmetry around absorbing atom strongly affects pre-edge transition: ability to differentiate 4, 5, 6-fold coordination.

Local Site Symmetry in Ti-containing Compounds

- Correlation between **absolute position and peak height** of pre-edge peak: all 4-fold, 5-fold and 6-fold coordinated Ti compounds fall into separate domains.
- Ability to distinguish Ti coordination from pre-edge peak information.



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XANES of 3d Transition Metals: Coordination



- For T_d symmetry 1s to 3d preedge peak sharp and intense from Ti→Mn, decreases Fe → Cu, absent for Zn.
- Decrease in intensity due to progressive filling of the 3d band.
- O_h symmetry shows only a small pre-edge peak throughout series.

Ref: Lytle et al. Phys. Rev. B 37 (1988) 1550.

"White line" Intensity of Group VIII Metals

• Peak historically called a "white line" as when it was detected by x-ray film it showed up as a white line due to the strong absorption.



 L_3 edge XANES for 5*d* metals

- Transition from 2p3/2 to 5d states.
- Absence of peak for Au: 5d states almost completely occupied.
- For others Pt<Ir<Os<Re, corresponding to increase in number of unoccupied 5*d* states on the atoms. Reference: G. Meitzner et al., J. Phys. Chem. 96 (1992) 4960

Quantification of "White Line"

- Fit to combination of Lorentzian and arctangent functions.
- Determine: area, fwhm, position.





• Linear correlation between white line area and number of 5d-holes for Au-Re

Ref: Lytle et al., Proc. 9th Int. Congr. Catal, Vol 5 (1988) 54

"White Line" Intensity: Oxides

Re L₃-edge - Transition from 2p3/2 to 5d states.



"White Lines": large change in absorption coefficient



- Step height linear with concentration from 100-10,000 ppm S but relative white line intensity constant only for <2000 ppm.
- Important if using a "reference" spectrum for fitting or fingerprinting.

Pt L₃ and L₂ Edge XANES

- Significant difference in L_3 and L_2 edge XANES: 2p to 5d transitions. 5*d*5/2 $\mathbf{E}_{\mathbf{F}}$ L_3 5d3/2 normalized xµ(E) 2p3/20,5 2p1/2 L_3 • Pt 5d3/2 filled, so no white line. L_2 shifted to align with L_3 edge. 0 11550 11600 11650 E(eV)
 - Same l=2 final density of states but because of selection rule, $\Delta j = \pm 1$, different total quantum number probed.
 - •j=3/2 probed by L₂-edge, j=5/2 probed by L₃-edge.

XANES to Probe Charge Transfer in Alloys



• Transition is 2*p* to 5*d*: Pt *d*-band full, so "no" intensity at edge.

- PtGe intermetallics: charge transfer from *d*-band of Pt to Ge, resulting in significant intensity at edge.
- Use as signature of Pt-Ge intermetallic formation.

Effect of Adsorbed Hydrogen on Pt L₃ XANES



10-15Å Pt clusters supported on Al_2O_3

- White-line intensity decreases and spectra broaden to higher energies as H is added.
- Difference signal typically leads to broad structure ~8 eV above absorption edge.
- Several different interpretations in the literature.

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Which Edge to Choose: Energy Resolution

Mo K-edge XANES of Na₂MoO₄



• Mo K-edge at 20.00 keV, effective resolution of 10 eV dominated by core-hole lifetime.

Which Edge to Choose: Energy Resolution

• Comparison of normalized Mo L_3 -edge (2.5 keV) XANES of Na₂MoO₄ with that of Mo K-edge (20.0 keV).



• Mo L₃-edge at 2.5 keV, 0.5 eV spectral resolution!



Time Evolution of XANES: Kinetics



Time Evolution of XANES: Kinetics



Recorded at X19A, 80 sec/scan

Q-XANES & D-XANES

Quick XANES

- Slew monochromator continuously to obtain a XANES spectrum in few seconds.
- All modes of detection.

Dispersive XANES

- Polychromatic beam dispersed onto linear detector.
- XANES spectrum in msec.
- Transmission only.
- Need extremely uniform samples.

Micro-XANES

- Use special optics to focus x-ray beam to 10μm diameter (X26A) or <1μm diameter at APS.
- Combined with x-ray microprobe: elemental composition maps and oxidation state/local coordination.
- Applications:
 - Speciation of metals in soils, sediments and organisms
 - Grazing incidence studies of cations and anions on surfaces
 - Time-resolved studies of reactions on surfaces and interfaces
 - High temperature studies (trace elements in melts)
 - Oxidation states of planetary material
 - High pressure phases (diamond anvil cell)
- See http://www.bnl.gov/x26a/ for information.

Analysis of Mixtures

- XANES useful technique to quantitatively determine composition of a mixture of species.
- Useful for following time evolution of species during a chemical reaction.
- Two most common methods:
 - Least squares linear combination fitting
 - Principal component analysis

Least Squares Linear Combination Fitting

- Use a linear combination of spectra of various reference samples.
- Allows quantification of species in multiple-component mixture from their fingerprint in the XANES region.
- Use a least-squares algorithm to refine the sum of a given number of reference spectra to an experimental spectrum.
- Simple method, easy to implement.
- Must have good quality spectra of the reference compounds recorded under similar conditions.

Linear Combination Fitting



Principal Component Analysis

- Used since 1970's in other chemical spectroscopy[†]. First published reference in XANES 1992*.
- Traditional approach: choose pure model standard, fit edges to these standards, but...
- How many standards are needed?
- How do we know models are reasonable?
- If you have wrong group of standards...there is no way to get the correct answer...

[†]Factor Analysis in Chemistry, 2nd Ed. John Wiley & Sons, NY, 1991

*Determination of molybdenum surface environment of molybdenum/titania catalysts by EXAFS, XANES and PCA. Mikrochimica Acta 109 (1992) 281.

Principal Component Analysis

- PCA estimates number of distinct species in a series of spectra.
- Used as a first stage of analysis.
- Based on linear algebra each spectrum represented as a vector.
- Goal is to find number of components that can reproduce the experimental spectra to within experimental (statistical) error.
- No *a priori* assumptions on number/type of components.
- Growing popularity in XANES spectroscopy*.

*"Principal component analysis approach for modeling sulfur K-XANES spectra in humic acids", S. Beauchemin et al., Soil. Sci. Soc.Am.J., 66 (2002) 83.

"Quantitative speciation of Mn-bearing particulates emitted from autos burning mcp-Mn gasolines using XANES spectroscopy", T. Ressler et al., Environ. Sci. Technol., 34 (2000) 950.

"EXAFS and principal component analysis: a new shell game", S. Wasserman et al., J. Synch. Rad., 6 (1999) 284.

"The kinetic significance of V^{5+} in n-butane oxidation catalyzed by vanadium phosphates", G.W. Coulston et al., Science, 275 (1997) 191

"XANES-TPR study of Cu-Pd bimetallic catalysts: application of factor analysis", M.Fernandez-Garcia et al., J. Phys. Chem 99 (1995) 12565.

Feff8.1 and XANES

- Dramatic progress in past decade both in theory and *ab initio* calculations.
- Significant progress has been made in understanding XANES.
- Quantitative theory central to quantitative interpretation of XAFS spectra in terms of local geometric and electronic structure.
- Will hear more about Feff tomorrow!

Feff8.1 and XANES: Pt L-edges



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XANES is a much larger signal than EXAFS

XANES can be done at lower concentrations, and less-than-perfect sample conditions.

XANES is easier to crudely interpret than EXAFS

For many systems, the XANES analysis based on linear combinations of known spectra from "model compounds" is sufficient.

More sophisticated linear-algebra techniques, such as principal component analysis can be applied to XANES spectra.

XANES is harder to fully interpret than EXAFS

The exact physical and chemical interpretation of all spectral features is still difficult to do accurately, precisely, and reliably.

This situation is improving.....